

State of Rhode Island and Providence Plantations
Department of Administration
Division of Purchases

RIVIP BIDDER CERTIFICATION COVER FORM

SECTION 1 - BIDDER INFORMATION

Bidder must be registered as a vendor on the RIVIP system at www.purchasing.ri.gov to submit a bid proposal.

Solicitation Number: 7549957A1
Solicitation Title: HEATING, VENTILATION AND AIR CONDITIONING SERVICES & REPAIR (MPA-136) -
ADDENDUM 1 (9 PGS)
**Bid Proposal Submission
Deadline Date & Time:** 11/2/2015 11:30 AM
RIVIP Vendor ID #: 76018
Bidder Name: carrier corporation
Address: 999 PONTIAC AVE
CRANSTON, RI 02920
USA
Telephone: 781.774.6350
Fax: 860.998.8726
Contact Name: DAN PERRY
Contact Title: ACCOUNTEXEC
Contact Email: dan.perry@carrier.utc.com

SECTION 2 —DISCLOSURES

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No) for Disclosures 1-4, and if "Yes," provide details below. Complete Disclosure 5. If the Bidder is publicly held, the Bidder may provide owner information about only those stockholders, members, partners, or other owners that hold at least 10% of the record or beneficial equity interests of the Bidder.

- N 1. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been subject to suspension or debarment by any federal, state, or municipal governmental authority, or the subject of criminal prosecution, or convicted of a criminal offense within the previous 5 years. If "Yes," provide details below.
- N¹ 2. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has had any contracts with a federal, state, or municipal governmental authority terminated for any reason within the previous 5 years. If "Yes," provide details below.
- N 3. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been fined more than \$5000 for violation(s) of any Rhode Island environmental law(s) by the Rhode Island Department of Environmental Management within the previous 5 years. If "Yes," provide details below.

N

4. State whether any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder is serving or has served within the past two calendar years as either an appointed or elected official of any state governmental authority or quasi-public corporation, including without limitation, any entity created as a legislative body or public or state agency by the general assembly or constitution of this state.
5. List each officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder. For each individual, provide his or her name, business address, principal occupation, position with the Bidder, and the percentage of ownership, if any, he or she holds in the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder.

Disclosure details (continue on additional sheet if necessary):

1. Although unaware of any particular incident, terminations for a variety of reasons may occur, but not to an extent to have a material impact in the normal course of business.

SECTION 3—CERTIFICATIONS

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No), and if "No," provide details below.

THE BIDDER CERTIFIES THAT:

- Y 1. The Bidder will immediately disclose, in writing, to the State Purchasing Agent any potential conflict of interest which may occur during the term of any contract awarded pursuant to this solicitation.
- Y 2. The Bidder possesses all licenses and anyone who will perform any work will possess all licenses required by applicable federal, state, and local law necessary to perform the requirements of any contract awarded pursuant to this solicitation and will maintain all required licenses during the term of any contract awarded pursuant to this solicitation. In the event that any required license shall lapse or be restricted or suspended, the Bidder shall immediately notify the State Purchasing Agent in writing.
- Y 3. The Bidder will maintain all required insurance during the term of any contract pursuant to this solicitation. In the event that any required insurance shall lapse or be canceled, the Bidder will immediately notify the State Purchasing Agent in writing.
- Y 4. The Bidder understands that falsification of any information in this bid proposal or failure to notify the State Purchasing Agent of any changes in any disclosures or certifications in this Bidder Certification may be grounds for suspension, debarment, and/or prosecution for fraud.
- Y 5. The Bidder has not paid and will not pay any bonus, commission, fee, gratuity, or other remuneration to any employee or official of the State of Rhode Island or any subdivision of the State of Rhode Island or other governmental authority for the purpose of obtaining an award of a contract pursuant to this solicitation. The Bidder further certifies that no bonus, commission, fee, gratuity, or other remuneration has been or will be received from any third party or paid to any third party contingent on the award of a contract pursuant to this solicitation.
- Y 6. This bid proposal is not a collusive bid proposal. Neither the Bidder, nor any of its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents has in any way colluded, conspired, or agreed, directly or indirectly, with any other bidder or person to submit a collusive bid proposal in response to the solicitation or to refrain from submitting a bid proposal in response to the solicitation, or has in any manner, directly or indirectly, sought by agreement or collusion or other communication with any other bidder or person to fix the price or prices in the bid proposal or the bid proposal of any other bidder, or to fix any overhead, profit, or cost component of the bid price in the bid proposal or the bid proposal of any other bidder, or to secure through any collusion, conspiracy, or unlawful agreement any advantage against the State of Rhode Island or any person with an interest in the contract awarded pursuant to this solicitation. The bid price in the bid proposal is fair and proper and is not tainted by any collusion, conspiracy, or unlawful agreement on the part of the Bidder, its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents.
- Y 7. The Bidder: (i) is not identified on the General Treasurer's list created pursuant to R.I. Gen. Laws § 37-2.5-3 as a person or entity engaging in investment activities in Iran described in § 37-2.5-2(b); and (ii) is not engaging in any such investment activities in Iran.
- Y 8. The Bidder will comply with all of the laws that are incorporated into and/or applicable to any contract with the State of Rhode Island.

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Submission by the Bidder of a bid proposal pursuant to this solicitation constitutes an offer to contract with the State of Rhode Island through the Division of Purchases on the terms and conditions contained in this solicitation and the bid proposal. The Bidder certifies that: (1) the Bidder has reviewed this solicitation and agrees to comply with its terms and conditions; (2) the bid proposal is based on this solicitation; and (3) the information submitted in the bid proposal (including this Bidder Certification Cover Form) is accurate and complete. The Bidder acknowledges that the terms and conditions of this solicitation and the bid proposal will be incorporated into any contract awarded to the Bidder pursuant to this solicitation and the bid proposal. The person signing below represents, under penalty of perjury, that he or she is fully informed regarding the preparation and contents of this bid proposal and has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.

BIDDER

Date: 10/29/15

Carrier Corporation

Signature in ink **Bradley Kiehl**

Signature in ink **Bradley Kiehl**
Printed name and title of person signing **Authorized Representative**



November 2, 2015

State of Rhode Island-MPA 136
Bid 7549957
From Carrier Corporation

Request for Quotes Form

We have completed the "Request for Quote" as explained in

The RFQ-Section 1- Instructions and Notifications to offerers.

Item 6- It is intended that an award pursuant to this RFQ will be made to a prime vendor **or prime vendors in the various categories** who will assume all aspects for the work.

The various categories that we offer considerable expertise are those related to refrigeration. Our license personnel are license in the refrigeration discipline and not in other categories, (Crane operator, sheet metal).

Under the existing contract MPA-136, we have been able to add considerable value to the state with our ability to diagnose, troubleshoot, and repair the refrigeration machinery an offer full factory support. A large proportion of the machinery has been manufacture by Carrier.

So that it is my understanding that the "Request for Quote" form issued with the addendum is only to be filled out in the categories in which Carrier has licensed personnel which is refrigeration.

In closing, we are grateful of the business relationship we have developed under MPA-136 and thank you for this opportunity

Sincerely,

A handwritten signature in black ink, appearing to read "Dan Perry".

Daniel Perry, P.E.

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Carrier Commercial Service



Request for Quote

Page 1 of 5

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

CREATION DATE : 16-OCT-15
BID NUMBER: 7549957
TITLE: HVAC Services and Repair (MPA-136)

BUYER: Ohara 2nd, John F
PHONE #: 401-574-8125

BLANKET START : 01-DEC-15
BLANKET END : 30-NOV-16
BID CLOSING DATE AND TIME: 02-NOV-2015 11:30:00

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RELEASE AGAINST, RI MPA
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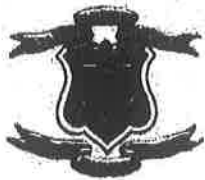
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Regulation Number:

Line	Description	Quantity	Unit	Unit Price	Total
1	MPA-136 12/1/15-11/30/16 Pipefitter Master 1 Straight Time Hourly Rate Onsite Highest Tier	859.00	Hour	—	
2	MPA-136 12/1/15-11/30/16 Pipefitter Master 1 Straight Time Hourly Rate Onsite Medium Tier	1,516.00	Hour	—	
3	MPA-136 12/1/15-11/30/16 Pipefitter Master 1 Straight Time Hourly Rate Onsite Lowest Tier	960.00	Hour	—	
4	MPA-136 12/1/15-11/30/16 Pipefitter Master 1 Overtime Hourly Rate Onsite Highest Tier	8.00	Hour	—	
5	MPA-136 12/1/15-11/30/16 Pipefitter Master 1 Overtime Hourly Rate Onsite Medium Tier	45.00	Hour	—	
6	MPA-136 12/1/15-11/30/16 Pipefitter Master 1 Overtime Hourly Rate Onsite Lowest Tier	3.00	Hour	—	
7	MPA-136 12/1/15-11/30/16 Pipefitter Journeyperson 1 Straight Time Hourly Rate Onsite Highest Tier	1.00	Hour	—	
8	MPA-136 12/1/15-11/30/16 Pipefitter Journeyperson 1 Straight Time Hourly Rate Onsite Medium Tier	1.00	Hour	—	
9	MPA-136 12/1/15-11/30/16 Pipefitter Journeyperson 1 Straight Time Hourly Rate Onsite Lowest Tier	1.00	Hour	—	
10	MPA-136 12/1/15-11/30/16 Pipefitter Journeyperson 1 Overtime Hourly Rate Onsite Highest Tier	1.00	Hour	—	
11	MPA-136 12/1/15-11/30/16 Pipefitter Journeyperson 1 Overtime Hourly Rate Onsite Medium Tier	1.00	Hour	—	
12	MPA-136 12/1/15-11/30/16 Pipefitter Journeyperson 1 Overtime Hourly Rate Onsite Lowest Tier	1.00	Hour	—	
13	MPA-136 12/1/15-11/30/16 Apprentice Pipefitter Straight Time Hourly Rate Onsite Highest Tier	1.00	Hour	—	
14	MPA-136 12/1/15-11/30/16 Apprentice Pipefitter Straight Time Hourly Rate Onsite Medium Tier	1.00	Hour	—	

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Carver 1



Request for Quote

Page 2 of 5

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

CREATION DATE : 16-OCT-15

BID NUMBER: 7549957

TITLE: HVAC Services and Repair (MPA-136)

BUYER: Ohara 2nd, John F
PHONE #: 401-574-8125

BLANKET START : 01-DEC-15
BLANKET END : 30-NOV-16
BID CLOSING DATE AND TIME: 02-NOV-2015 11:30:00

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Requestion Number:

Line	Description	Quantity	Unit	Unit Price	Total
15	MPA-136 12/1/15-11/30/16 Apprenctice Pipefitter Straight Time Hourly Rate Onsite Lowest Tier	1.00	Hour	—	
16	MPA-136 12/1/15-11/30/16 Apprenctice Pipefitter Overtime Hourly Rate Onsite Highest Tier	1.00	Hour	—	
17	MPA-136 12/1/15-11/30/16 Apprenctice Pipefitter Overtime Hourly Rate Onsite Medium Tier	1.00	Hour	—	
18	MPA-136 12/1/15-11/30/16 Apprenctice Pipefitter Overtime Hourly Rate Onsite Lowest Tier	1.00	Hour	—	
19	✓ MPA-136 12/1/15-11/30/16 Refrigeration Master 1 Straight Time Hourly Rate Onsite Highest Tier	1.00	Hour	148	
20	✓ MPA-136 12/1/15-11/30/16 Refrigeration Master 1 Straight Time Hourly Rate Onsite Medium Tier	1.00	Hour	148	
21	✓ MPA-136 12/1/15-11/30/16 Refrigeration Master 1 Straight Time Hourly Rate Onsite Lowest Tier	1.00	Hour	148	
22	✓ MPA-136 12/1/15-11/30/16 Refrigeration Master 1 Overtime Hourly Rate Onsite Highest Tier	1.00	Hour	206	
23	✓ MPA-136 12/1/15-11/30/16 Refrigeration Master 1 Overtime Hourly Rate Onsite Medium Tier	1.00	Hour	206	
24	✓ MPA-136 12/1/15-11/30/16 Refrigeration Master 1 Overtime Hourly Rate Onsite Lowest Tier	1.00	Hour	206	
25	✓ MPA-136 12/1/15-11/30/16 Refrigeration Journeyperson 1 Straight Time Hourly Rate Onsite Highest Tier	1.00	Hour	148	
26	✓ MPA-136 12/1/15-11/30/16 Refrigeration Journeyperson 1 Straight Time Hourly Rate Onsite Medium Tier	1.00	Hour	148	
27	✓ MPA-136 12/1/15-11/30/16 Refrigeration Journeyperson 1 Straight Time Hourly Rate Onsite Lowest Tier	1.00	Hour	148	
28	✓ MPA-136 12/1/15-11/30/16 Refrigeration Journeyperson 1 Overtime Hourly Rate Onsite Highest Tier	1.00	Hour	206	
29	✓ MPA-136 12/1/15-11/30/16 Refrigeration Journeyperson 1	1.00	Hour	206	

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Carver 2



Request for Quote

Page 3 of 5

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

CREATION DATE : 16-OCT-15

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TITLE: HVAC Services and Repair (MPA-136)

BUYER: Ohara 2nd, John F
PHONE #: 401-574-8125

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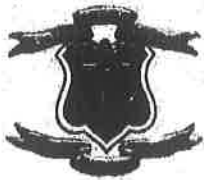
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Regulation Number:

Line	Description	Quantity	Unit	Unit Price	Total
	Overtime Hourly Rate Onsite Medium Tier			206	
30	✓ MPA-136 12/1/15-11/30/16 Refrigeration Journeyperson 1 Overtime Hourly Rate Onsite Lowest Tier	1.00	Hour	206	
31	✓ MPA-136 12/1/15-11/30/16 Apprentice Refrigeration Straight Time Hourly Rate Onsite Highest Tier	1.00	Hour	138	
32	✓ MPA-136 12/1/15-11/30/16 Apprentice Refrigeration Straight Time Hourly Rate Onsite Medium Tier	1.00	Hour	138	
33	✓ MPA-136 12/1/15-11/30/16 Apprentice Refrigeration Straight Time Hourly Rate Onsite Lowest Tier	1.00	Hour	138	
34	✓ MPA-136 12/1/15-11/30/16 Apprentice Refrigeration Overtime Hourly Rate Onsite Highest Tier	1.00	Hour	192	
35	✓ MPA-136 12/1/15-11/30/16 Apprentice Refrigeration Overtime Hourly Rate Onsite Medium Tier	1.00	Hour	192	
36	✓ MPA-136 12/1/15-11/30/16 Apprentice Refrigeration Overtime Hourly Rate Onsite Lowest Tier	1.00	Hour	192	
37	MPA-136 12/1/15-11/30/16 Sheet Metal 1 Straight Time Hourly Rate Onsite Highest Tier	1.00	Hour	—	
38	MPA-136 12/1/15-11/30/16 Sheet Metal 1 Straight Time Hourly Rate Onsite Medium Tier	1.00	Hour	—	
39	MPA-136 12/1/15-11/30/16 Sheet Metal 1 Straight Time Hourly Rate Onsite Lowest Tier	1.00	Hour	—	
40	MPA-136 12/1/15-11/30/16 Sheet Metal 1 Overtime Hourly Rate Onsite Highest Tier	1.00	Hour	—	
41	MPA-136 12/1/15-11/30/16 Sheet Metal 1 Overtime Hourly Rate Onsite Medium Tier	1.00	Hour	—	
42	MPA-136 12/1/15-11/30/16 Sheet Metal 1 Overtime Hourly Rate Onsite Lowest Tier	1.00	Hour	—	
43	MPA-136 12/1/15-11/30/16 Sheet Metal Journeyperson 1	1.00	Hour	—	

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Carrier 3



Request for Quote

Page 4 of 5

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

CREATION DATE : 16-OCT-15

BID NUMBER: 7549957

TITLE: HVAC Services and Repair (MPA-136)

BUYER: Ohara 2nd, John F
PHONE #: 401-574-8125

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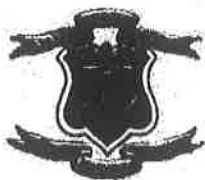
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Requisition Number:

Line	Description	Quantity	Unit	Unit Price	Total
	Straight Time Hourly Rate Onsite Highest Tier			—	
44	MPA-136 12/1/15-11/30/16 Sheet Metal Journey person 1 Straight Time Hourly Rate Onsite Medium Tier	1.00	Hour	—	
45	MPA-136 12/1/15-11/30/16 Sheet Metal Journey person 1 Straight Time Hourly Rate Onsite Lowest Tier	1.00	Hour	—	
46	MPA-136 12/1/15-11/30/16 Sheet Metal Journey person 1 Overtime Hourly Rate Onsite Highest Tier	1.00	Hour	—	
47	MPA-136 12/1/15-11/30/16 Sheet Metal Journey person 1 Overtime Hourly Rate Onsite Medium Tier	1.00	Hour	—	
48	MPA-136 12/1/15-11/30/16 Sheet Metal Journey person 1 Overtime Hourly Rate Onsite Lowest Tier	1.00	Hour	—	
49	MPA-136 12/1/15-11/30/16 Apprentice Sheet Metal Straight Time Hourly Rate Onsite Highest Tier	1.00	Hour	—	
50	MPA-136 12/1/15-11/30/16 Apprentice Sheet Metal Straight Time Hourly Rate Onsite Medium Tier	1.00	Hour	—	
51	MPA-136 12/1/15-11/30/16 Apprentice Sheet Metal Straight Time Hourly Rate Onsite Lowest Tier	1.00	Hour	—	
52	MPA-136 12/1/15-11/30/16 Apprentice Sheet Metal Overtime Hourly Rate Onsite Highest Tier	1.00	Hour	—	
53	MPA-136 12/1/15-11/30/16 Apprentice Sheet Metal Overtime Hourly Rate Onsite Medium Tier	1.00	Hour	—	
54	MPA-136 12/1/15-11/30/16 Apprentice Sheet Metal Overtime Hourly Rate Onsite Lowest Tier	1.00	Hour	—	
55	MPA-136 12/1/15-11/30/16 Major Equipment (with operator applicable) CRANE	1.00	Hour	—	
	Rates for Items 55 through 58 shall include the following.				

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Carrier 4



Request for Quote

Page 5 of 5

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

CREATION DATE : 16-OCT-15
BID NUMBER: 7549957
TITLE: HVAC Services and Repair (MPA-136)

BUYER: Ohara 2nd, John F
PHONE #: 401-574-8125

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Requisition Number:

Line	Description	Quantity	Unit	Unit Price	Total
	All rates shall be inclusive without limitations, wages, benefits, vehicle, fuel, tools, mobilization and demobilization, supervision, insurance, all licenses, permits, overhead and profit and all other requirements necessary for the commencement, performance and completion of the Work.			—	
56	MPA-136 12/1/15-11/30/16 Major Equipment (with operator applicable) CRANE	1.00	Day	—	
57	MPA-136 12/1/15-11/30/16 Major Equipment (with operator applicable) CRANE	1.00	Week	—	
58	MPA-136 12/1/15-11/30/16 Major Equipment (with operator applicable) CRANE Materials are to be provided at COST plus the following (applicable) fee for overhead, pickup and delivery. No additional charges will be acceptable. \$0-500 NO FEE \$501-750 \$75.00 \$751-1000 \$96.00 \$1001-1500 \$125.00 \$1501-2500 \$180.00 \$2501-5000 \$300.00 \$5001-7500 \$438.00 Over \$7501. \$525.00. Acknowledgement of fee structure on materials.	1.00	Month	—	

Delivery: Per Reg - Carrier

Terms of Payment: _____

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Carrier 5



November 2, 2015

State of Rhode Island-MPA 136
Bid 7549957
From Carrier Corporation

Executive Summary

Attached is our proposal to continue as a contractor providing HVAC repair and maintenance services to the State of Rhode Island. We are grateful to have been chosen in 2009. This has given us the ability to perform maintenance and repair services in many of the state facilities and interact with many of the states' facility managers. We have tried to utilize our companies training program and technicians experience to rapidly resolve issues and trouble calls.

Our technicians continue to have experience in the highest tier skilled equipment on a daily basis, and participate in factory training programs. This allows us to rapidly solve site issues.

This includes:

- Absorbers
- Centrifugal Chillers with Unit Mounted VFD's
- Screw Chillers with Unit Mounted VFD's
- Other equipment

Regarding the equipment by manufacturer and equipment type, our technicians have considerable experience. As a manufacturer we have significant experience on steam fired absorption units, gas fired absorption units, large centrifugal chillers, screw chillers. Also various controls, vfd's, pumping systems, pneumatics, and ahus.

In closing, we are grateful of the business relationship we have developed under MPA-136 and thank you for this opportunity

Sincerely,

A handwritten signature in black ink, appearing to read "Dan Perry".

Daniel Perry, P.E.

LEED AP

Carrier Commercial Service



November 2, 2015

State of Rhode Island-MPA 136
Bid 7549957
From Carrier Corporation

Answers to Questions in the Bid Package

Section 3.23-Employee licenses and resumes

- Attached are employee licenses
- Attached are employee resumes
- Regarding the equipment by manufacturer and equipment type listed in section 3.23, our technicians have considerable experience. As a manufacturer we have significant experience on steam fired absorption units, gas fired absorption units, large centrifugal chillers, screw chillers. Also various controls, vfds, pumping systems, pneumatics, and ahus.

Section 12- Organizational Structure

- Carrier field employees are licensed refrigeration technicians from UA Local 51 in RI who perform the work
- The licensed technicians are supervised by a service department supervisor- who schedules work and orders materials. This supervisor also provides technical support
- An account manager provides proposals and pricing for quotations
- A regional factory engineer who lives in Southern Mass provides on site factory support as needed
- Direct access to the factory support engineers for the many products that Carrier manufactures
- A regional full time safety officer is part of the structure
- A business team that does billing, ordering, and other operational aspects of the business
 - Attached is an org chart showing the local personnel is included, it does not include many support people at the Carrier factories and resources at the various other nearby New England offices
- Attached is a twelve page power point that we issue as a company profile

Section 14-Contractor Response Form- Company Profile

- Attached is a twelve page power point that we issue as a company profile
- We were established in 1930, we have over 40,000 employees
- UTC Financial stability is very solid with a 5A3 D and B rating
- Attached are responses to the various references from existing customers

Section 15 and 17-Previous projects

- Attached are 3 projects over \$10,000
- Attached are 3 projects over \$30,000

Section 16-Additional Requirements

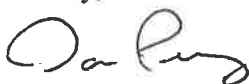
- Protocol for Emergency Call In Work-Carrier maintains 24/7
 - technician on call
 - Supervisor on call
 - Dispatcher on call
 - Call the 401.333.1480. Carrier dispatcher will notify the Carrier supervisor on call, who will then dispatch the appropriate technician. The Carrier supervisor will maintain contact with the State of RI person placing the call throughout the process
- Safety Program
 - Attached is a copy of the safety program
 - Employees who perform work are certified for confined space are certified per OSHA
- Company owned equipment include trucks, refrigeration pump outs, leak detectors, gantries, chain falls, hand tools, safety kits, factory manuals, and technical meters.
- Carrier has a national partnership for non destructive eddy current tube testing with TAI Associates

Other Items

- Master contractor License # is 00008043
- Signed W-9 Form is attached
- Prompt pay form is attached
- Equal Employment Opportunity Affirmation is attached
- RIVIP Bidder Cert Form is attached
- Executive Summary is attached
- CD disc for public is attached

In closing, we are grateful of the business relationship we have developed under MPA-136 and thank you for this opportunity

Sincerely,

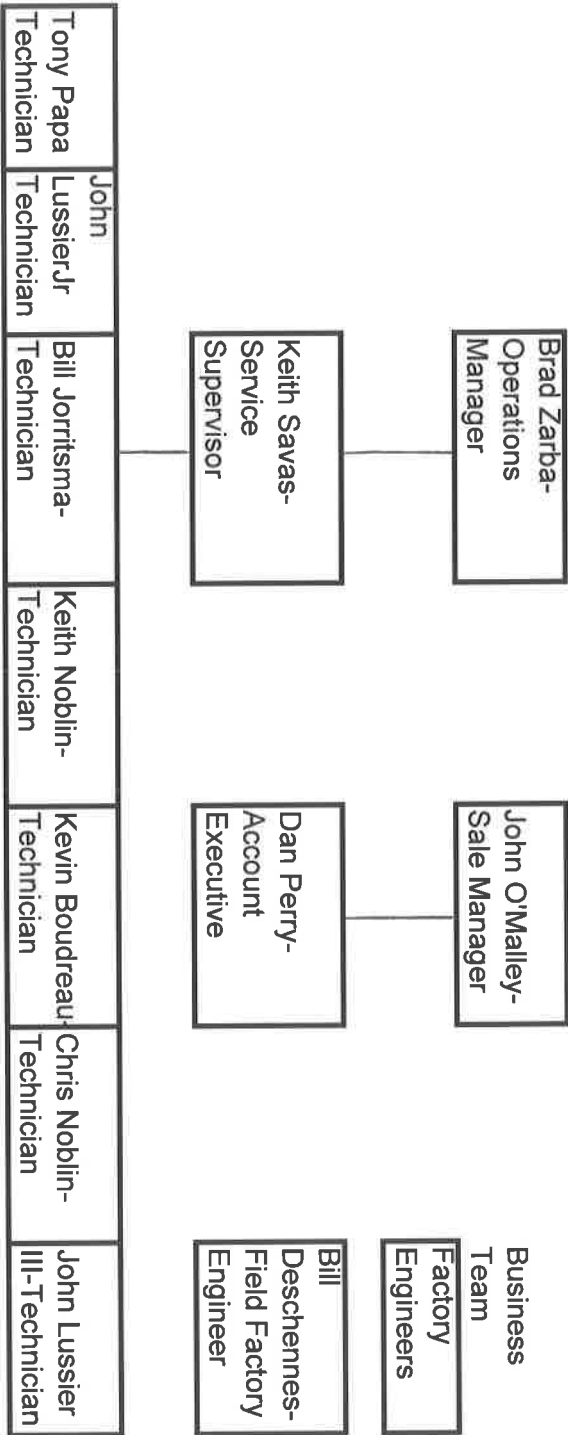


Daniel Perry, P.E.

LEED AP

Carrier Commercial Service

Carrier RI - Local Organizational Chart



STATE OF CONNECTICUT ♦ DEPARTMENT OF CONSUMER PROTECTION

Be it known that

KEITH E NOBLIN
907 PUTNAM PIKE
CHEPACHET, RI 02814

has been certified by the Department of Consumer Protection as a licensed
HEATING, PIPING & COOLING LIMITED CONTRACTOR

License #HTG.0394750-D1

Effective: 09/01/2015

Expiration: 08/31/2016


Jonathan A. Harris, Commissioner



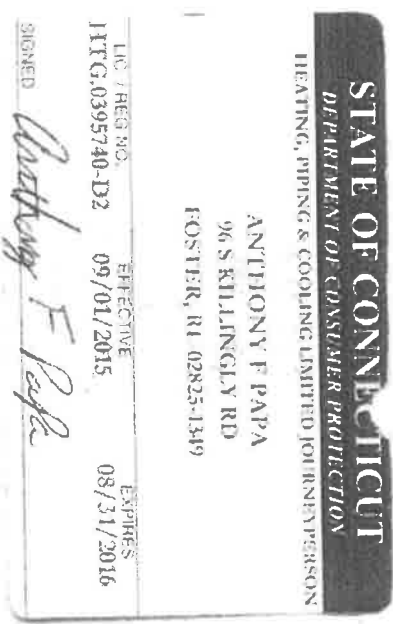
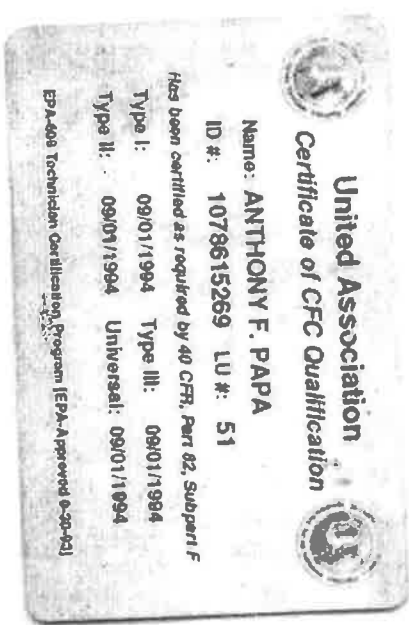
State of Rhode Island and Providence Plantations
Rhode Island Department of Labor and Training

REFRIG/MASTER 1 00008043

KEITH E NOBLIN
907 PUTNAM PIKE
CHEPACHET RI 02814

JOHN SHAW
Administrator

08/31/2016
Expiration Date



Rhode Island Department of Labor and Training
Division of Workforce Regulation and Safety

APPR/COMBINATION
REFRIG/JOURNEY 1

00010978
RJ1

STEAMFITTER LOCAL 476
JOHN W LUSSIER
70 JONATHAN ROAD
WEST GREENWICH RI 02817

Donald R. Andrews
Administrator

12/31/2015
Expiration Date

STATE OF CONNECTICUT
DEPARTMENT OF CONSUMER PROTECTION

HEATING, PIPING & COOLING LIMITED JOURNEYPERSON

JOHN W LUSSIER JR
70 JONATHAN RD
WEST GREENWICH, RI 02817

LIC. / REG NO.	EFFECTIVE	EXPIRES
HTG.0386441-D2	09/01/2014	08/31/2015

SIGNED

John W. Lussier

OSHA

U.S. Department of Labor
Occupational Safety and Health Administration

LUSSIER, JR. JOHN W.

has successfully completed a 10-hour Occupational Safety and Health
Training Course in

Construction Safety & Health

Donald R. Andrews
(Trainer)

12/01
(Date)



United Association
Certificate of CFC Qualification



Name: JOHN W. LUSSIER

ID #: 1252795069 LU #: 51

Has been certified as required by 40 CFR, Part 82, Subpart F

Type I: 04/25/1994 Type III: 04/25/1994

Type II: 04/25/1994 Universal: 09/01/1994

EPA-608 Technician Certification Program [EPA-Approved 9-30-93]



Commonwealth of Massachusetts
Department of Public Safety
Refrigeration Technician
License: RT-021118

JOHN W LUSSIER
70 JONATHAN RD
WEST GREENWICH RI 02817

Thomas J. Kelly
Commissioner



Expiration:
12/07/2016



Commonwealth of Massachusetts
Department of Public Safety
Refrigeration Technician
License: RT-018328

KEVIN R BOUDREAU
10 MAYFAIR CIRCLE
Oxford MA 01540

Th. D. Hyslop
Commissioner

Expiration
04/23/2016



Commonwealth of Massachusetts
Department of Public Safety

License: PJU-001541
Pipefitter Unrestricted Journeyman

KEVIN R BOUDREAU
10 MAYFAIR CIRCLE
Oxford MA 01540

Th. D. Hyslop
Commissioner

Expiration
04/23/2016



State of Rhode Island and Providence Plantations
Rhode Island Department of Labor and Training

REFRIG/JOURNEY 1 RJ1

KEVIN R BOUDREAU
10 MAYFAIR CIRCLE
OXFORD MA 01540

JOHN CHAW
Administrator

04/30/2016
Expiration Date



STATE OF CONNECTICUT

DEPARTMENT OF CONSUMER PROTECTION
HEATING, PIPING & COOLING UNLIMITED JOURNEYPERSON

KEVIN R BOUDREAU
10 MAYFAIR CIR
OXFORD, MA 01540-2722

LIC / REG NO	EFFECTIVE	EXPIRES
HTG.0400315-S2	09/01/2015	08/31/2016

SIGNED



Massachusetts - Department of Public Safety
Board of Building Regulations and Standards
Construction Supervisor
License: CS-091998

KEVIN R BOUDREAU
10 MAYFAIR CIRCLE
Oxford MA 01540

Th. D. Hyslop
Commissioner

Expiration
04/23/2017



Certificate of Completion

Refrigeration Technician and Recovery
Certification Program
Certificate of Completion

Issued To: KEVIN R BOUDREAU
Issue Date: May 2, 1994
Certification No.: 000160586
Certified as a: UNIVERSAL

Technician as required by 40 CFR Part 82, Subpart F
FSD/ACCA EPA Program Approval October 18, 1990
EPA 608 Program Approval March 01, 2001

State of Rhode Island and Providence Plantations
Rhode Island Department of Labor and Training

REFRIG/MASTER 1 00008042

WILLARD S JORRITSMA
161 LAKE AVENUE
EAST WAREHAM MA 02538

JOHN SHAW
Administrator

08/31/2016
Expiration Date

Carrier Commercial Service
HCFC-123 Certification

This certification authorizes

Jorritsma, Bill

to perform work related to HCFC-123 equipment.

Issued By: William A. Friebe Issued: December 14, 2006

Expires: December 14, 2009

This card shall be kept available for audit purposes.

Commonwealth of Massachusetts
Department of Public Safety

Refrigeration Technician
License: RT-020512

WILLARD S JORRITSMA
161 LAKE AVE
EAST WAREHAM MA 02538

Commissioner

Expiration:
08/02/2017

The
Refrigeration Service Engineers Society
Confirms that
ID 019200458
WILLARD S JORRITSMA
Signature:

has been certified as Type-I, Type-II

technician as required by 40 CFR part 82, subpart F through the

PROPER REFRIGERANT PRACTICES

Program approved by the U.S. Environmental Protection Agency 06/06/03



Manager Education, Training and Testing

OSHA

U.S. Department of Labor
Occupational Safety and Health Administration

JORRITSMA, WILLIAM

has successfully completed a 10-hour Occupational Safety and Health
Training Course in

Construction Safety & Health

Paul C. [Signature]

3-18-02
(Date)

State of Rhode Island and Providence Plantations
Rhode Island Department of Labor and Training

REFRIG/JOURNEY 1 RJ1

CHRISTOPHER C NOBLIN
166 GAZZA RD
CHEPACHET RI 02814

JOHN SHAW
Administrator
11/30/2015
Expiration Date

Rhode Island
DRIVER LICENSE
Class 10 License No. 25713418
Born: 05-04-1987 Expires: 11-04-2026

Sex: M Ht: 5'10" Eyes: BLUE Hair: BROWN
Restrictions: 10-250 BLUE 10-05-2015
Endorsements:
CHRISTOPHER C NOBLIN
166 GAZZA RD
CHEPACHET, RI 02814

DL

Christopher C. Noblin
ID #: 12338545

STAR Mastery Certification

STAR HVACR Mastery
Valid Until 1/19/2018



United Association
EPA Section 608
Technician Certification
[EPA-Approved 9-30-93]

CHRISTOPHER C. NOBLIN

ID#: 1457186185 LU#: 51

Has been certified as required
by 40 CFR, Part 82, Subpart F

Type I: 03/19/2010
Type II: 04/12/2010
Type III: 03/19/2010
Universal: 04/12/2010



OSHA 002023078

U.S. Department of Labor
Occupational Safety and Health Administration

Christopher Noblin

Has successfully completed a 10-hour Occupational Safety and Health
Training Course in

Construction Safety & Health

Michael P. Kogan 9/6/02
(Trainer)

United Association
EPA Section 608
Technician Certification
[EPA Approved 9-30-93]

JOHN W. LUSSIER III

ID#: 1406673169 LU#: 51

*Has been certified as required
by 40 CFR, Part 82, Subpart F*

Type I: 03/14/2013

Type II: 03/14/2013

Type III: 03/14/2013

Universal: 03/14/2013

State of Rhode Island and Providence Plantations,
Rhode Island Department of Labor and Training

REFRIGERATION
APPRENTICE

21278

J. LUSSIER III

70 JOANTHAN ROAD

WEST GREENWICH RI 02817

PLUMBERS & PIPEFITTERS # 51

JOHN SHAW
Administrator
04/30/2016
Expiration Date



November 2, 2015

State of Rhode Island-MPA 136
Bid 7549957
From Carrier Corporation

Resumes of Employees

Name- Keith Noblin

- Title – Service Technician
- Licenses Held-RI Refrigeration Master, other state licenses as well
- Certificates Held-UA Star certification, OSHA 10, CFC Unlimited
- OEM Courses Taken- Carrier Z Series RTU, VFD for large HP chillers, Factory Overhaul training, Micro turbines
- Years with Carrier-14 years
- Years of experience in field – 31 years
- Chiller service- Centrifugal, Screw, Air cooled, absorption chillers
- Mechanical Equipment Service- Chillers, pumps, absorbers, cooling towers, air handling units, pneumatic and electronic controls

Name- Tony Papa

- Title – Service Technician
- Licenses Held-RI Refrigeration Journeyman 1, other state licenses as well
- Certificates Held- OSHA 10, CFC Unlimited
- OEM Courses Taken- VFD for large HP chillers, Factory Overhaul training, DDC Controls
- Years with Carrier-17 years
- Years of experience in field – 21 years
- Chiller service- Centrifugal, Screw, Air cooled, absorption chillers, York chillers, steam turbines
- Mechanical Equipment Service- Absorbers, Chillers, pumps, cooling towers, air handling units, computer room units, split systems, pneumatic and electronic controls

Name- John Lussier Jr

- Title – Service Technician
- Licenses Held-RI Refrigeration Journeyman 1, other state licenses as well
- Certificates Held-UA Star certification, OSHA 10, CFC Unlimited
- OEM Courses Taken- VFD for large HP chillers, Factory Overhaul training for large chillers, DDC Controls, Screw Chillers training,
- Years with Carrier-22 years
- Years of experience in field – 27 years
- Chiller service- Centrifugal Chillers, Screw Chillers, Air cooled chillers, absorption chillers, direct fired absorption chillers
- Mechanical Equipment Service- Chillers, pumps, absorbers, computer room units, cooling towers, air handling units, pneumatic and electronic controls

Carrier Corporation-Resumes of Employees (page 2 of 3)

Name- Kevin Boudreau

- Title – Service Technician
- Licenses Held-RI Refrigeration Journeyman 1, other state licenses as well
- Certificates Held- OSHA 10, CFC Unlimited
- OEM Courses Taken- Air cooled rotary screw training, Air cooled scroll training, VFD for large HP chillers, Factory Overhaul training for large chillers, DDC Controls,
- Years with Carrier-9 years
- Years of experience in field – 26 years
- Chiller service- Centrifugal Chillers, Screw Chillers, Air cooled chillers, absorption chillers, direct fired absorption chillers
- Mechanical Equipment Service- Chillers, pumps, roof top units, computer room units, cooling towers, air handling units, pneumatic and electronic controls

Name- Willard Jorritsma

- Title – Service Technician
- Licenses Held-RI Refrigeration Master 1, other state licenses as well
- Certificates Held- OSHA 10, CFC Unlimited
- OEM Courses Taken- Carrier RTU training, Air cooled rotary screw training, Air cooled scroll training, VFD for large HP chillers, Factory Overhaul training for large chillers, DDC Controls, Absorption Training
- Years with Carrier-13 years
- Years of experience in field – 31 years
- Chiller service- Centrifugal Chillers, Screw Chillers, Air cooled chillers, absorption chillers, direct fired absorption chillers
- Mechanical Equipment Service- Chillers, pumps, roof top units, air handling units, pneumatic and electronic controls, computer room units, cooling towers

Name- Chris Noblin

- Title – Service Technician
- Licenses Held-RI Refrigeration Journeyman 1,
- Certificates Held-UA Star certification, OSHA 10, CFC Unlimited
- OEM Courses Taken- Factory Overhaul training ,VFD for large HP chillers, Factory Overhaul training
- Years with Carrier-6 years
- Years of experience in field – 6 years
- Chiller service- Centrifugal, Screw, Air cooled, absorption chillers
- Mechanical Equipment Service- Chillers, pumps, absorbers, cooling towers, air handling units, pneumatic and electronic controls

Carrier Corporation-Resumes of Employees (page 3 of 3)

Name- John Lussier III

- Title – Service Technician
- Licenses Held-RI Refrigeration Apprentice
- Certificates Held-UA CFC Unlimited
- OEM Courses Taken- Carrier Orientation
- Years with Carrier-1 years
- Years of experience in field – 3 years
- Chiller service- Air cooled, Centrifugal, Screw
- Mechanical Equipment Service- Chillers, pumps, air handling units, pneumatic and electronic controls, cooling towers,



United Technologies

Building & Industrial Systems

This is UTC Building & Industrial Systems

Released May 2014



United Technologies

**Powerful
Innovation**

**Powerful
Solutions**



Providing high-technology commercial building and aerospace systems to customers around the world



2

UTC BUSINESSES



United Technologies
Building & Industrial Systems



Otis



Carrier



Climate



Kidde

*Otis and UTC Climate, Controls & Security each continue to report their financial and operational results as separate segments.



United Technologies
Propulsion | Aerospace Systems



Pratt & Whitney



UTC Aerospace Systems



Sikorsky
A United Technologies Company



Sikorsky

3

PERFORMANCE

Sales Type & Geography

2013 net sales \$62.6 billion

TYPE



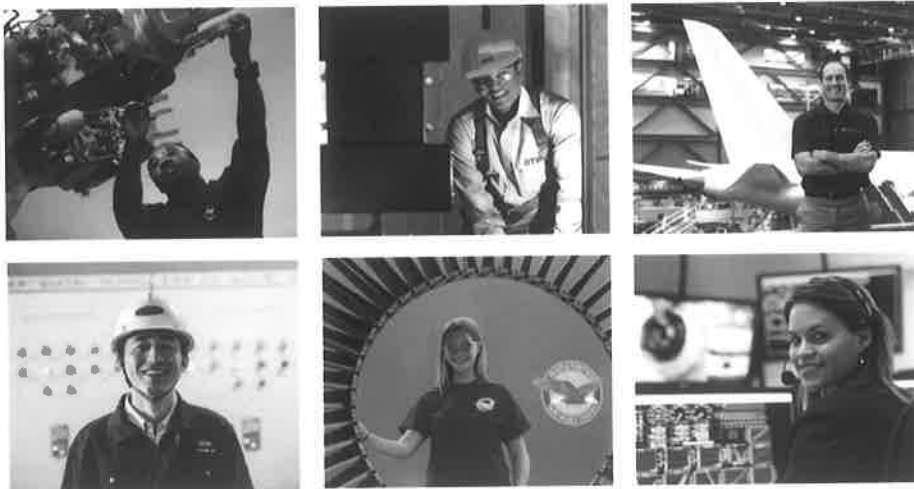
GEOGRAPHY



4

OUR PEOPLE

More than 200K people in ~70 countries



5

OUR PEOPLE

Employee Scholar Program



"My education has allowed me to advance quickly in my career and play a part in keeping our soldiers safe and our aircraft flying. That's the biggest reward."

Yelitza Forte, Sikorsky
Master of Business Administration



"My education has certainly helped me better understand the complexities of the jet engines we make."

Daniel Ward, Pratt & Whitney
Master's in Mechanical Engineering



"The Employee Scholar Program lets me focus on my education, not on affording it."

Anna Fletcher, UTC Building & Industrial Systems
Master of Business Administration

6

UTC COMMITMENTS

WHAT
UNITES
US.

Our Commitments

INNOVATION

We are a company of ideas that are nurtured by a commitment to research and development. The achievements of our founders inspire us to reach always for the next innovative and powerful and marketable idea. We seek and share ideas openly and encourage diversity of experience and opinion.

RESPONSIBILITY

Successful businesses improve the human condition. We maintain the highest ethical, environmental and safety standards everywhere, and we encourage and celebrate our employees' active roles in their communities.

PERFORMANCE

INNOVATION

OPPORTUNITY

RESPONSIBILITY

RESULTS

PERFORMANCE

Our customers have a choice, and how we perform determines whether they choose us. We aim high, set ambitious goals and deliver results, and we use customer feedback to recalibrate when necessary. We move quickly and make timely, well-reasoned decisions because our future depends on them. We invest authority where it needs to be, in the hands of the people closest to the customer and the work.

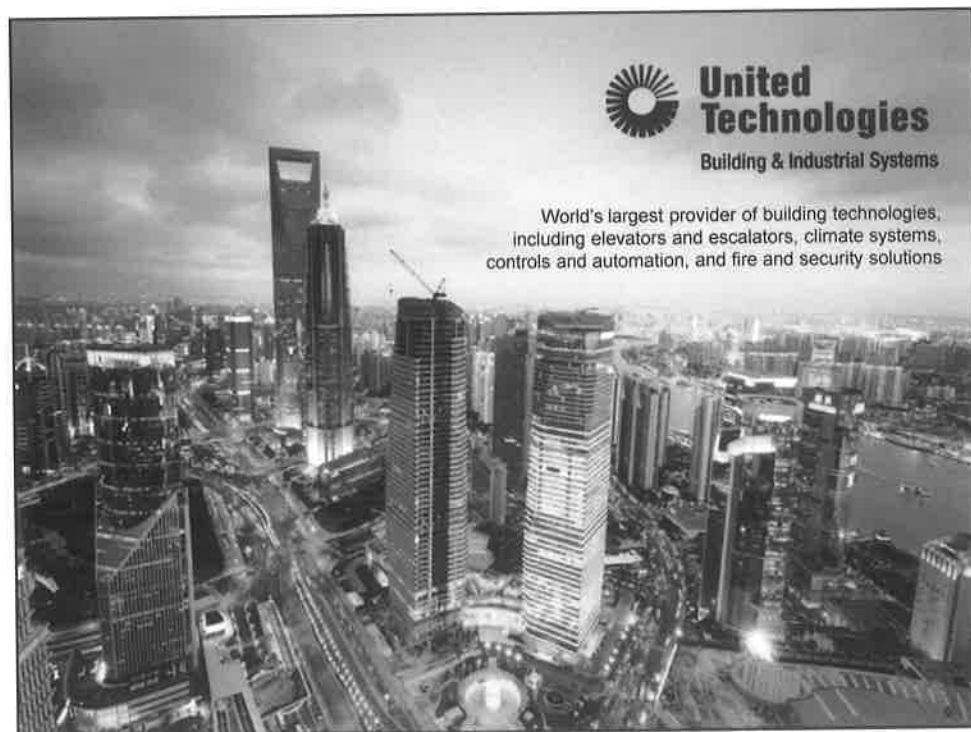
OPPORTUNITY

Our employees' ideas and inspiration create opportunities constantly, and without limits. We improve continuously everything we do, as a company and as individuals. We support and pursue lifelong learning to expand our knowledge and capabilities and to engage with the world outside UTC. Confidence spurs us to take prudent risks, to experiment, to cooperate with each other and, always, to learn from the consequences of our actions.

RESULTS

We are a preferred investment because we meet aggressive targets whatever the economic environment. We communicate honestly and forthrightly to investors, and deliver consistently what we promise. We are a company of realists and optimists, and we project these values in everything we do.

7



MISSION & PURPOSE

Mission: To be our customers' **first choice**.

Purpose: We make the world a **better place to live**. We create and maintain...



comfortable, accessible,



efficient, healthy,



safe, secure and
sustainable environments,



and ensure the global food
supply is transported and
preserved for safe
consumption.

10

VALUES

QUALITY

Quality is the common denominator of all we do.

We are committed to Service Excellence and the ACE operating system.

PERFORMANCE

Our customers have a choice, and how we perform determines whether they choose us.

We strive for continuous growth to reinforce our leadership position.

We achieve world-class financial performance.

We continuously improve the productivity of our assets, resources and everything we do.

RESPONSIBILITY

Successful businesses improve the human condition.

We act with integrity and maintain the highest ethical standards.

We are environmentally responsible and drive to sustainability.

We care for the health and safety of our employees and customers.

We actively support the communities in which we do business.

INNOVATION

We are a company of ideas, committed to research and development.

Our heritage inspires us to reach the next innovative, powerful and marketable idea.

We continuously invest in new products, technologies and intelligent systems.

CUSTOMER CARE

We listen to our customers and respond to their needs.

We make it easy to do business with us.

We are committed to excellence in customer satisfaction.

EMPLOYEE OPPORTUNITY

We listen to our employees and treat each other with respect.

We are committed to employee and management development.

We support and pursue lifelong learning to expand our knowledge and capabilities.

We value diversity in individuals and cultures, and seek and share ideas openly.

11

A LEGACY OF INNOVATION

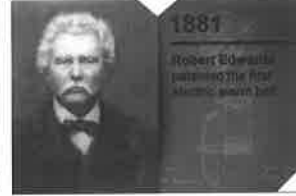
Chubb
Building Technologies



OTIS
Building Technologies



EDWARDS
Building Technologies



Carrier
Building Technologies



Kidde
Building Technologies



12

FAST FACTS



Sales

\$29B

Employees

121,000

Factories

74

R&D centers

49

Branches

~2,500

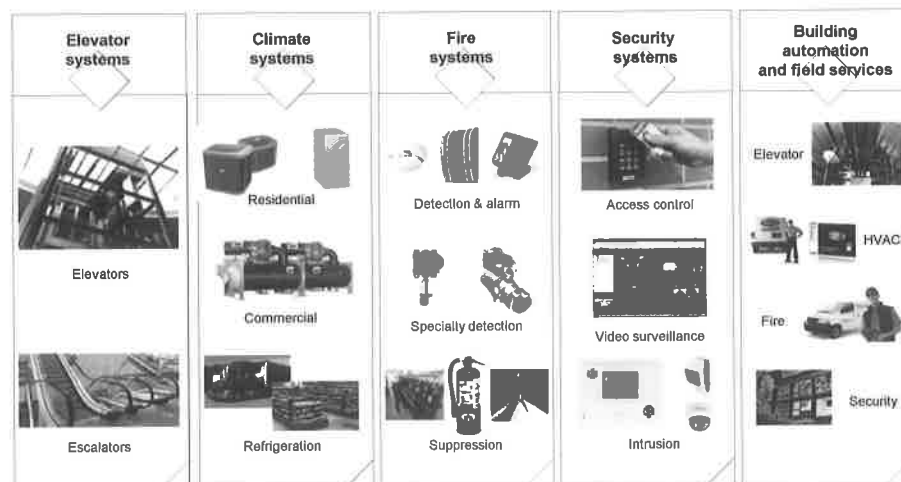
13

INDUSTRY-LEADING BRANDS



14

PRODUCTS AND SERVICES

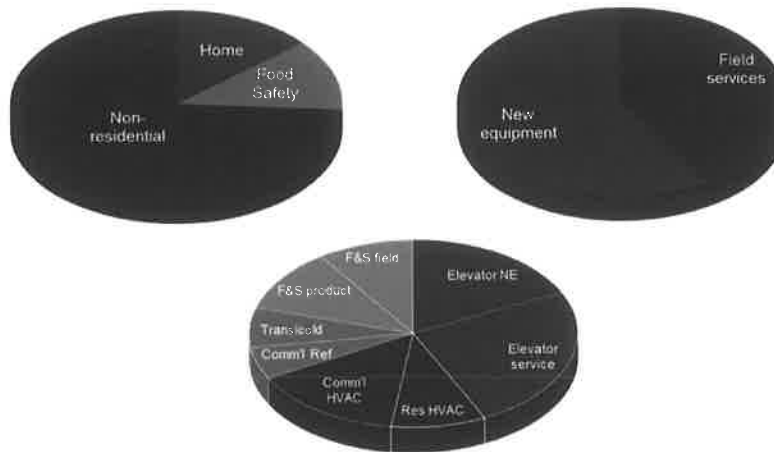


15

UTC BUILDING & INDUSTRIAL SYSTEMS

Sales mix

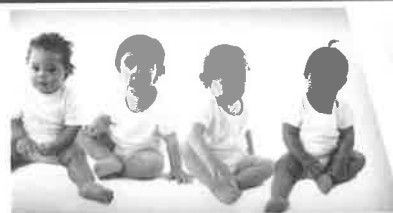
(2013)



\$29 billion

16

GROWTH FUNDAMENTALS



Population growth 80M / year

Urbanization 65M / year



Source: United Nations

17

GROWTH DRIVERS



18

INTELLIGENT BUILDING TECHNOLOGIES

Safer, smarter, more sustainable



Integrated solutions
Building automation
System integration
Green building design

Life safety
Combustion controls
Access control
Intrusion detection

Video surveillance
Key management
Asset protection
Remote monitoring

Maintenance
Repair
Modernization
Energy services

People movement
Performance contracting
Prognostics & diagnostics
Data analytics

Heating & cooling
Energy recovery
Turnkey installation



19

INNOVATION



Carrier AquaEdge™ chiller



Otis Gen2® Switch elevator



Kidde Worry-Free
smoke and CO alarms



Automated Logic WebCTRL®
building automation system



Det-Tronics FlexSonic™
acoustic gas leak detector



Interlogix Advisor™ platform



Carrier Transicold
Vector™ unit



Chubb eConnect™
monitoring solution

20

QUALITY

The common denominator of all we do

Achieving Competitive Excellence (ACE) – United Technologies' proprietary operating system to ensure world-class quality in our products and processes.



Certified ACE Gold
May 2011

CARRIER

Collierville, Tennessee, U.S.



Certified ACE Gold
October 2008

CARRIER TRANSICOLD

Singapore



Achieving Competitive Excellence
The United Technologies Quality System

21

ENVIRONMENTALLY RESPONSIBLE

Sustainability accomplishments

Through 2013, UTC Building & Industrial Systems accomplishments include:

44%

reduction in
water
consumption

37%

reduction in
greenhouse gas
emissions

49%

reduction in
total industrial
waste

73%












reduction in
air emissions
(non-greenhouse gases)

Baseline: 2006 data adjusted for acquisitions and divestitures.

22

IMPROVING OUR ENVIRONMENT

Sustainable solutions

improve efficiency		Ottie® Gen2® Elevator with ReGen™ drive reduces energy consumption by up to 75% compared to traditional elevators with conventional drives.	improve water protection		Marriott's HI-FOG® gas-driven pump unit uses up to 90% less water than traditional sprinkler systems.
improve shipping		The NaturaLINE™ unit is the world's first container refrigeration system to use the natural refrigerant CO ₂ .	improve safety		Fenwal Protection Systems use non-ozone depleting suppression agents with a low global warming potential of 1.
improve freshness		The Vector™ unit features electric standby capability with fuel, noise and emission reductions for trailer refrigeration.	improve efficiency		Interlogix's Two-Way Talking Security Touch Screen uses time triggers to help users manage energy consumption.
improve refrigeration		The CO ₂ OLec™ Integral solution uses the natural refrigerant CO ₂ and fully integrates refrigeration, heating and cooling systems, resulting in energy savings.	improve efficiency		The Fireeye Nexus™ PPC400 control system reduces boiler fuel demand by up to 7% versus mechanical systems.
improve comfort		The Infinity® Hybrid Heat® system with Greenspeed™ intelligence is the most efficient ducted, air source dual fuel system available.*	improve efficiency		Since 1964, NORESKO has guaranteed more than \$3 billion in energy and operating cost savings at more than 7,000 facilities.
improve buildings		The AquaEdge™ 23XRV is the world's leading efficiency screw chiller, 44% better than industry standard.**	<p>*According to System Annual Fuel Cost Comparison as calculated by Wrightsoft® Comfort Builder software based on estimated average annual combined heating and cooling operating costs in St. Louis, MO as of 1/21/13.</p> <p>**Among electric-driven, water-cooled chillers as measured by Integrated Part Load Value conditions reported by the U.S. Department of Energy/Federal Energy Management Program Energy-Efficiency Study.</p>		

23

RESPONSIBILITY

Supporting communities where we live and work



24

CONNECTING WITH OUR CUSTOMERS



TRADE EVENTS



PRODUCT MARKETING



CUSTOMER TRAINING

25



November 2, 2015

State of Rhode Island-MPA 136
Bid 7549957
From Carrier Corporation

Section 15 and 17-Previous projects

Attached are 3 projects over \$30,000

1. FujiFilm
 - Year Started-2014
 - Year Complete-2014
 - Description of Contract- Reengineered electric centrifugal 550 ton chiller with factory engineering package. Overhauled chiller, replaced bearings and internals. Added new control panel and VFD. Commissioned the chiller.
 - Company- FujiFilm, North Kingstown RI
 - Contact Person-Brendan Lane
 - Telephone and email- 401.522.9508 brendan_lane@fujifilm-ffem.com
 - Project and value- Chiller Engineered Mods..... \$132,120
2. RISD
 - Year Started-2012
 - Year Complete-2012
 - Description of Contract-Modifications to allow waterside free cooling of dormitory. Includes mods to 186 ton screw chillers, controls, new plate frame exchanger, and VFDs. Commissioned the system.
 - Company- RISD, Providence RI
 - Contact Person-Dave Crocker
 - Telephone and email- 401.454.6387 dcrocker@risd.edu
 - Project and value- Chiller Plant Mods..... \$64,846
3. Fatima Hospital
 - Year Started-2013
 - Year Complete-2013
 - Description of Contract- Emergency repairs to electric centrifugal 500 ton chiller with factory engineering package. Overhauled chiller and replaced damaged internal components. Carrier factory engineer specified new internal components. Upgraded the control panel. Commissioned the chiller.
 - Company- Fatima Hospital, North Providence RI
 - Contact Person-Tom Hogan
 - Telephone and email- 401.456.3391 tom.hogan@chartercare.org
 - Project and value- Chiller Repairs..... \$64,820

Attached are 3 projects over \$10,000

1. Butler Hospital

- Year Started-2012
- Year Complete-2013
- Description of Contract- Replacement of cogeneration micro turbine assembly. Unit output capacity of 60 kw. Provided UTC replacement unit, commissioned, and started system.
- Company- Butler Hospital, Providence RI
- Contact Person-Bob Masoian
- Telephone and email- 401.455.6284 bmasoian@butler.org
- Project and value- Micro turbine Repair..... \$49,925

2. One Citizens Plaza

- Year Started-2014
- Year Complete-2015
- Description of Contract- Obsolete chiller control systems upgrades for electric 400 ton chiller. Worked with factory engineering for a solution, installed, and commissioned.
- Company- One citizens Plaza, Providence RI
- Contact Person-Paul Criscione
- Telephone and email- 401.207.0503 paul.criscione@cbre-ne.com
- Project and value- Chiller Repairs..... \$26,780

3. Roger Williams Hospital

- Year Started-2014
- Year Complete-2014
- Description of Contract-Perform field repair to 400 ton chiller. Sandblast and epoxy coat water box areas of chiller.
- Company- Roger Williams Hospital, Providence RI
- Contact Person-Frank O'Connor
- Telephone and email- 401.456.2000 foconnor@rwmc.org
- Project and value- Chiller Repair..... \$10,911

1. INTRODUCTION

The requirements specified in these standards constitute the formal addendum to BIS EH&S Policy and are intended to serve as the minimum requirements for UTC BIS Field Operations. These minimum Standards of performance provide a mandatory framework for each BIS entity to fulfill its objective to provide its employees with a workplace free from recognized occupational hazards and to provide products/services that reduce the likelihood and severity of accidents to users of BIS equipment and equipment installed and serviced by BIS.

This standard is focused on establishing and maintaining effective safety management systems, and specifying key mandatory programs and work rules designed to mitigate the possibility of injury or illness from hazards common to our industries, however must be used in conjunction with UTC Standard Practices, Otis WWJSSS, CCS Safety Standard Work Instructions and Regulatory Requirements.

2. SCOPE

The Standards apply to all BIS field operations (and its divisions / subsidiaries) and describe mandatory safe working provisions and practices (supported by training) for all BIS associates responsible for and involved in the installation, examination, inspection, testing, service, maintenance, repair and dismantling (including complete demolition) of all products and service provided by the organization and the environment of which we work.

The BIS Field Safety Standards must always be followed unless local laws are more stringent or a contradiction exists. A contradiction exists if a code requirement prohibits an item in the BIS Field Safety Standards or when both the code and the BIS Field Safety Standards applied together create an identified additional risk.

If either of these conditions exists, the country's local code should be followed, if it is a regulatory requirement. If the code is not mandatory, then the BIS Field Safety Standards requirement should be followed.

Where a contradiction exists, the BIS Company should implement a plan to either modify the local code and/or obtain a variance from the appropriate code authorities. Unless stated otherwise, all requests for deviations must be submitted to the WHQ-EH&S, Vice-President.

The Appendices to these standards provide additionally specific requirements related to the respective operations within BIS.

In addition to these standards, each operation must ensure that programs are in place to meet the requirements of all UTC, Business Unit and Regulatory Requirements.

3. GENERAL SAFETY RULES

- a) In order to maintain a safe work environment, it is necessary to understand and follow all safety instructions. Therefore, safety instructions must be published and distributed in local language. Supervision is required to assure that employees are familiar with and follow these rules.



- b) All employees must be trained and appropriately qualified to carry out work tasks that they have been assigned. No unqualified workers are allowed to work without appropriate supervision. A qualification period shall be a minimum of 6 months.
- c) All employees will be trained on safety procedures and methods of eliminating, isolating or minimizing hazardous conditions before performing or directing others to perform work.
- d) All employees that go to a jobsite, but have not been trained in the applicable local company safety rules and procedures, must be accompanied and constantly supervised by qualified BIS personnel.
- e) Operations must have a process to enable employees to consult with supervision, management and/or the company EH&S Dept. when an employee is unsure about the applicable requirements.
- f) All Field Employees shall have Safety Foot Wear, that includes as a minimum: safety rated toe cap, anti-slip tread, a heel to aid protection when using ladders and ankle support to minimize ankle injuries. Each Operation shall implement a program for new safety foot wear to meet as a minimum the above requirements.
- g) All employees must be issued with appropriate gloves for use when handling materials / conducting work tasks. Gloves shall not be worn around rotating equipment (e.g. drills, ropes/sheaves etc.), an exception to this requirement, would be when using both hands to hold a portable tool (e.g. drill, grinder) and that the material is secured from movement.
- h) Adequate lighting must be provided to assure safe entry/exit and safe working. It must also be protected against mechanical damage where there is the potential for inadvertent/accidental contact within the immediate work environment.
- i) All major tools and equipment used in installation, modernization and repair must be approved by local BIS Management. Tools shall only be used in accordance with the manufacturer's safety recommendations and regulatory requirements. Borrowing or using tools and equipment from other companies or non-BIS persons is not allowed. An exception is allowed if prior to the use of the tool or equipment:
 - 1) Meets BIS and regulatory requirements and is inspected prior to use.
 - 2) The employee has been trained in the use of the tool or equipment.
- j) Requesting assistance from non-approved persons to complete work that is performed on BIS associated equipment or work area is not allowed.
- k) Each Operation must develop and implement safe work procedures with respect to oxygen-acetylene and other compressed gases, inclusive of storage, transportation and safe use.
- l) Each operation must develop and implement a hot works program.
- m) Each operation must develop and implement a specific program with regard to the use of hand held grinders, including, but not limited to, approved work tasks that they may be used for, rules for use, safe work procedures, changing discs and minimum training requirements.



- n) Employees shall not work within close proximity of unguarded electrical or mechanical hazards. (Close proximity is considered as within 1.5m), unless adequate control measures have been implemented to protect the employee from inadvertent contact.
- o) Materials shall be stored in a safe manner to minimize risks of materials falling (uncontrolled mechanical energy), tripping and/or similar hazards.
- p) Each Operation shall develop and implement a Job Site Prestart and In Process Inspection Process for Installation, modernization and major repairs, to ensure that, as a minimum, the following aspects are in place prior to employees commencing work on site :
 - 1) A Process to Validate that Mechanics have required skills for work
 - 2) All PPE and Required Tooling is available on site and in good condition
 - 3) Standard Work Instructions are available in local language
 - 4) Lifting points have certified known capacity
 - 5) Fall Protection Barricades are in place, anchor points and lifelines are installed and employees have personal fall protection.
 - 6) Scaffolding is installed, certified with appropriate barricades, planking, access and overhead protection
 - 7) Appropriate Ladders or Work Platforms are available on site
- q) **Otis Specific:** Pre-Start checks will be done for every hoistway / unit installed. If work is suspended and restarted, an additional pre-start will be performed prior to work resuming. Pre-Starts will cover the following minimum requirements / categories:
 - a. Site Conditions / Jobsite readiness
 - i. Safe Access to project, hoistway and machine room
 - ii. Power – safe and operational (if required)
 - iii. Lighting
 - iv. Deviations due to dimension issues vs. layout – impact on method
 - v. Hooking points and beams
 - vi. No other trades or material in hoistway
 - vii. Water in Pit
 - b. Hoistway & Overhead protection
 - i. Landing barricades
 - ii. Full screening of landings and hoistway openings
 - iii. Lifelines installed
 - iv. Scaffolding
 - c. Tooling & Equipment
 - i. Hoisting and rigging equipment
 - ii. Specialty tooling required by method
 - iii. False car / running platforms (redundant safeties, guardrails, A/V alarm)
 - iv. Mechanical lifting equipment
 - d. Certified / Qualified Installer (both Subcontractors and Otis employees)
 - e. Method & Manual
 - f. PPE
 - i. Personal fall protection / hardhats / gloves



4. FALL PROTECTION

- a. On Construction sites, fall hazards shall be eliminated by collective / engineering means such as adequate guardrails. However, where there is a potential exposure to a fall hazard during work activities, all employees and sub-contractors must wear their personal fall protection equipment at all times, and attach to a suitable lifeline / anchor point prior to being exposed. When their work activities are not in the immediate vicinity of a potential fall hazard, employees must have their personal fall protection readily available to enable them to put on their fall protection if they are likely to be exposed. (Further requirements for working in elevator hoistways is defined in Otis WWJSSS).
- b. Personal Fall protection shall be provided for and used by all employees who work at an elevated level of two or more meters, or where other hazardous conditions, such as working over machinery or moving equipment, may present an additional hazard if a fall were to occur.
- c. Employees working on roofs at a height of 2 meters or higher and within 3 meters of an unprotected edge shall be protected from falls by either an adequate guardrail or personal fall protection system.
- d. Anchor points and life lines must be installed prior to commencing work on site and conform to a recognized regulatory standard such as CE / ANSI etc.
- e. Fall protection equipment must meet the requirements of an internationally recognized standard, have regulatory certification (e.g. CE, ANSI label) and be maintained and inspected in accordance with manufacturers' recommendations.

5. PORTABLE LADDERS

- a. Each Operation shall perform Risk Assessments to minimize the use of ladders. When the unalterable features of the work site and/or the nature of the work require the use of ladders, safe ladder practices must be followed.
- b. Each Operation must establish a specific criteria and minimum conditions which would allow work activities from a ladder. (including work task, location of work, duration, risk level, additional control measures etc.)

The following Ladder requirements must be observed:

c. Selection

- 1. Always inspect ladders before each use
- 2. Never use a damaged ladder
- 3. Never use a ladder for high risk activities
 - a. High risk is as defined by risk assessment and incorporates risk reducing control measures
- 4. Shall have appropriate manufacturer labels such as load capacities, rating, warnings, and inspection criteria
- 5. Only use a ladder that is fit and appropriate for the task and is of industrial classification (e.g. not domestic class ladders, never use a step ladder as a straight ladder)



6. Ladders made of conductive material shall not be used for any task where contact with exposed live electrical parts may be present.

d. Set Up

1. Always ensure ladders contact stationary / fixed surfaces (ground, walls) at 4 points.
 - a. Always ensure ladders are positioned on firm and stable ground without the risk of slipping
 - b. No blocks, bricks or other loose materials may be used to compensate for uneven surfaces
 - c. Only position a ladder on a flat and stable surface and avoid leaning a ladder on obstructions that could cause the ladder to pivot, tip or become unstable
2. Always ensure ladders cannot slip outwards or side wards (e.g. effective stability controls)
3. maintain a 4/1 ratio for straight/extension ladders
4. ensure step/combination ladder stiles are fully opened and locked into position
5. Ensure that ladders used for access/egress extend minimum of 1m above landing point, allowing secure handhold
6. Don't use a step ladder for access

e. Safe working

1. Do Not stand on the top 3 rungs/steps of a ladder (including the step forming the top of a step ladder).
2. Maintain three-point contact at all times on a ladder.
 - a. Do not carry tools/equipment by hand when climbing/descending ladders
 - b. If two hands are needed for a brief time to conduct a task, two feet and the upper body supported by the ladder must be used.
 - c. Where three point contact with two feet and a hand/upper body cannot be maintained control measures must be implemented to prevent loss of balance
3. Always maintain your center of gravity within the frame and footprint of the ladder.
 - a. Never overreach or over extend your body from the stiles of ladder.
 - b. Never place center of gravity above the top contact or pivot point on an ladder
 - c. Never face backward on a ladder
 - d. No exertion of force to the sides of a step ladder (pushing/pulling, drilling)

6. SCAFFOLDING (INCLUDING PORTABLE/MOBILE TYPES)

- a. All scaffold materials shall be of recognized standards and approved by local, national, country regulations. If such country standards do not exist then the design and materials of the scaffold intended for use must be approved by a qualified engineering authority.
- b. Prior to use, all scaffolds shall be erected in accordance with the manufacturer recommendations by a competent person. As a minimum, scaffolds shall be erected in accordance with all applicable local, regional and national regulations.
- c. A competent person, must inspect the scaffolding for proper erection and apparent material defects prior to use by employees. Any noted problems must be rectified prior to use.
- d. The following design elements must be considered:



1. Head protection (roof or screen) must be provided if there is any potential for falling objects.
2. Firm footing must be provided for each scaffold upright. The poles, legs, or uprights of scaffolds shall be plumb, and they shall be securely and rigidly braced to prevent swaying and displacement.
3. Guardrails and mid-rails shall be installed on all open sides and ends of platforms where there is a fall hazard. Toe-boards may be required as part of overhead protection.
4. Bracing must be provided to assure uprights are maintained in a plumb (90-degree vertical) position. When using a fixed scaffold, the entire scaffold must be secured to the building structure.
5. All planking of platforms shall be of approved scaffold grade, in good repair, provided for the full work surface of the scaffold, secured from moving and installed per manufactures requirements.
6. A safe and convenient means of access, such as a fixed ladder or stairway, must be provided to gain access to the working platform, as a minimum, if it is more than 1 meter above or below the point of access.

7. HOISTING AND RIGGING

Hoisting and Rigging practices shall conform to the standards approved in the country of use and BIS requirements.

Safe practices shall include but are not limited to the following:

- a. Hoisting devices (e.g. powered / electric winches, chain falls, overhead cranes, etc.) must be used and maintained in accordance with manufacturer recommendations and applicable local, regional and national regulations.
- b. Manufacturer recommended load capacities on rigging hardware (e.g. turnbuckles, shackles, hooks, eyebolts etc) - shall not be exceeded. Application of these devices must be in accordance with manufacturer recommendations (e.g. thimbles must be used in the eyes of all rope, slings shall not be shortened with knots or other devices, slings shall be padded or protected from sharp edges or 90 degree or greater bends).
- c. ONLY pre-formed slings, shackles, and properly engineered lifting devices shall be used. Wire rope clips shall not be used. (Pre-formed slings are certified slings made by a specialized manufacturer for hoisting applications, that require no modification for use).
- d. Employees must be trained in the application of hoisting, rigging and lifting equipment.
- e. A system of communications must be established and used for all hoisting and lifting operations.
- f. All hoisting, rigging and lifting equipment (including hoists, ropes, hooks, etc.) shall be inspected at least once per year (unless local regulatory requirements require more frequent

inspections). Inspections shall only be made by personnel who are trained and competent to perform such inspections. Written records of initial and periodic inspections must be maintained and the equipment must be marked to indicate the last or next inspection date.

- g. All rigging and lifting equipment shall be visually inspected before each use for defects and deformation. Defective devices shall be immediately removed from service.

8. ELECTRICAL SAFETY

The following requirements are applicable to all work activities and practices involving electrical circuits and equipment.

a) General Protection

No employee shall be permitted to work in close proximity to any part of an electrical power circuit unless the employee is protected against electric shock. An employee is considered to be in close proximity if within 1.5 meters of live electric contacts, or if from his/her normal working position inadvertent movement of a limb can cause contact.

The hierarchy of protection (from highest to lowest) is:

- 1.) de-energizing the circuit
- 2.) guarding the energized circuit with effective insulation
- 3.) using safe electrical working practices.

If protection is afforded by de-energizing the circuit, then employees must be equipped with and trained in the use of a proper testing device (e.g. electrical meter) for testing and verifying zero electrical energy in close proximity to the work area before the work commences.

Guarding of the energized circuit can be accomplished with either permanent or temporary (e.g. insulated blanket) insulating material.

If neither de-energizing the circuit nor guarding the energized circuit can be accomplished, safe electrical work practices shall be determined by a written risk assessment / job hazard analysis.

Examples of recommended safe electrical work practices include:

- removal of all conductive jewelry or dangling keys, etc.,
- wearing proper clothing (no bare arms or legs),
- wearing safety glasses with non conductive frames,
- wearing electrical protective gloves,
- using insulated tools,
- using insulated floor mats,
- using an insulated blanket

Equipment that may have energized circuits after the main line disconnect has been locked out and tagged, must be labeled to indicate this situation.

b) Ground-Fault Circuit Interrupters

1. Ground-fault circuit interrupters (GFCI) (also known as residual current devices - RCD, or residual current circuit breakers - RCCB) shall be used with all handheld portable electrical tools.

2. All GFCI's used for the protection of personnel must be rated nominally at 10 mA or less and the trip times shall not exceed 300 ms.
3. All GFCI must be periodically tested according to manufacturer's recommendations to ensure operation according to specifications.
4. Portable GFCIs shall be located in the electrical circuit at the point of connection to the receptacle. For construction jobs, GFCIs can be connected to the "load side" of an extension cord, if jobsite conditions prevent the use of a GFCI at the point of connection and measures to prevent cable damage have been implemented (e.g. cable location, type of insulation/protection, etc.).

c) Electrical Connections & Temporary Power Boxes

Electrical connections at construction sites must be made in accordance with BIS Field Safety Standards Appendix 8.c.

BIS employees and subcontractors may connect portable power tools and equipment only to permanent receptacles, extension cords, or temporary power boxes and similar devices that are approved for this use and fitted with standard plug-in connectors or outlets, in good condition, and have no exposed electrical parts.

9. LOCKOUT/TAGOUT PROCEDURES – CONTROL OF HAZARDOUS ENERGY

When work is performed on equipment where its operation is not required, the machinery must be brought to a Zero Energy State (completely de-energized) and locked and tagged out from its power source(s). This shall include, but not limited to mandatory use of lockout/tagout procedures when working on any electrical, mechanical, hydraulic, pneumatic, compressed gas, chemical or thermal processes.

Personnel must be trained to perform lockout/tagout procedures and will have access to lockout tags and devices. Only one key or combination shall be available to personnel for each lock in use.

Lockout/Tagout Procedures must include the following:

1. Equipment that is operating shall be shut down prior to locking and tagging out.
2. Employees shall stand to the side and face away when engaging and disengaging mainline disconnects. (This requirement does not apply to circuit breakers and other similar devices that switch off short-circuit currents.)
3. Valves or other energy isolating means shall be operated so that the energy source or sources are isolated from the machinery. Where the potential for injury exists, stored energy in capacitors, and hydraulic, spring, or pneumatic pressure must also be dissipated or blocked prior to performing work in their vicinity.
4. The energy source shall be locked out with an assigned lock and a tag with the persons name. If more than one individual is required to work on the equipment, then each person must place his or her individual lock and tag on the energy-isolating device.



5. The employee shall assure that no personnel are exposed by checking the machine to verify all energy sources are isolated or dissipated by verification with a meter or test device, or by operating start buttons, switches, and/or controls. For electric equipment, meters or test equipment must be checked for proper function against a known voltage source, prior to verification of a zero-energy state
6. After all work is completed, the following procedure shall be used to restore the equipment to service:
 - a) Only the employee who performed the lockout may remove the lock and tag. If more than one individual placed a lock on the equipment, then each person must personally remove her/his own lock.
 - b) When the work is complete and the equipment is ready for testing, check the area for personnel, tools, and other equipment before removing the lock and tag.
 - c) Before leaving the area notify all other affected personnel that the work is complete.

10. ELEVATOR ROPE CLEANING / LUBRICATING

(Otis Specific - see Otis WWJSSS and WWJSSS Appendices for additional specific minimum requirements).

As of 1st January 2015, rope cleaning and lubricating must only be conducted using permanent or portable tooling, no manual hand cleaning or lubricating. Any exception to this requirement must be approved on a case by case basis by the Managing Director. With regard to such exceptions, the following criteria shall be met:

- Documented standard work procedure for rope cleaning and lubrication, that is formally approved by the Managing Director.
- Ropes must NOT be cleaned or inspected by hand unless the elevator is under full control of the employee and that the Emergency Stop Switch has been engaged and proven.
- Mechanics must be formally trained and certified by an onsite observation process.

11. ELEVATOR RE-ROPING/ROPE SHORTENING

(Otis Specific - see Otis WWJSSS and WWJSSS Appendices for additional specific minimum requirements).

Each Operation must develop and implement a documented standard work procedure for re-roping/shortening. The procedure must include a pre-start process to ensure standard work, tooling and PPE are available on site prior to commencing, mechanics must be formally trained on an annual basis and certified by an onsite observation process and further supplemented by a periodic observational audit process. The Standard Work Procedure must be formally approved by the Managing Director.

**Request for Taxpayer
Identification Number and Certification**

**Give Form to the
requester. Do not
send to the IRS.**

Print or type
See Specific instructions on page 2.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.

Carrier Corporation

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification; check only **one** of the following seven boxes:

- ☐ Individual/sole proprietor or single-member LLC
☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____
Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.
☐ Other (see instructions) ▶ _____
- ☒ **C Corporation** ☐ **S Corporation** ☐ **Partnership** ☐ **Trust/estate**

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):

Exempt payee code (if any) _____

Exemption from FATCA reporting code (if any) _____

(Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.)

8 Farm Springs Rd

6 City, state, and ZIP code

Farmington, CT 06032

Requester's name and address (optional)

7 List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number

			-			-			
--	--	--	---	--	--	---	--	--	--

or

Employer identification number

0	6	-	0	9	9	1	7	1	6
---	---	---	---	---	---	---	---	---	---

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

**Sign
Here**

Signature of
U.S. person ▶

Date ▶

1/14/15

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at www.irs.gov/fw9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

• Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)

• Form 1099-C (canceled debt)

• Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding?* on page 2.

By signing the filled-out form, you:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
- Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.

Appendix A

Prompt Payment Discount Form
(Invoice discounts for receiving fast payments)

Note: All vendors responding to the within solicitation must complete a Prompt Payment Discount ("PPD") form as part of this Master Price Agreement solicitation.

Bidder Name: CARRIER CORPORATION

RFQ/RFP Bid Solicitation Number: BID 7549957

Prompt Payment Discounts ("PPD"). Vendors benefit from PPD by increased, usable cash flow as a result of fast and efficient payments for commodities or services rendered. ACH payments increase the prompt pay benefit by ensuring that funds are paid directly to their designated bank accounts, thus eliminating the delay of check clearance policies and traditional mail lead time (additional form required for ACH enrollment can be found at <http://controller.admin.ri.gov/Forms/index.php>). Vendors are highly encouraged to enroll and will receive consideration for enrollment.

The State benefits because contractors reduce the cost of products and services through the applied discount. While Bidders/Contractors have flexibility in determining the actual % discount(s) offered to the State, the discount(s) must be identified in 10 days or more for Payment Issuance Date. The State may use the prompt pay discounts submitted as a basis for selection and may negotiate discounts as deemed in the best interest of the State.

All discounts offered will be automatically deducted from payment when the issue date is within the specified number of days listed below and in accordance with the State's Prompt Payment Law. Payment days will be measured **from** the date goods are received and accepted/performance was completed OR the date an invoice is received by the Office of the DOA Controller, whichever is later **to** the date the payment is issued via ACH or mailed by the State Treasurer. The date of payment "issue" is the date a payment is considered "paid" not the date a payment is "received" by a vendor.

The State encourages Vendors to use the RIFANS Supplier Portal which has the functionality to electronically submit invoices against open Purchase Orders. This eliminates mailing and handling time and will increase the payment cycle especially for those suppliers who offer Prompt Payment Discounts.

Enter the Prompt Payment Discount percentage (%) off the invoice payment, for each of the payment issue dates listed, if the payment is issued within the specified Payment Issue days. For example:

5% - 10 Days

4% - 15 Days

3% - 20 Days

1% - 25 Days

Discount %	Payment Issue Date Within
%	10 Days
%	15 Days
%	20 Days
%	25 Days
By checking this box, we certify that we will not offer any Prompt Payment Discounts	
<input checked="checked" type="checkbox"/>	
We will sign up for ACH payment. (please circle response)	
Yes	No
We will utilize the State's Supplier Portal to electronically submit invoices. (please circle response)	
Yes	No

Signature [Signature]

Date 11/2/2015



REAFFIRMATION OF POLICY ON EQUAL EMPLOYMENT OPPORTUNITY

Individuals differ in many ways, and we value the differences they bring to our work force.

Carrier Corporation seeks to attract and retain the most qualified employees and is committed to providing them with equal employment opportunity. As an affirmative action employer, we provide equal opportunity regardless of race, color, religion, gender, national origin, age, marital status, veteran status, disabled status, and sexual orientation. Carrier's equal employment policies govern all cases of employment, recruitment, promotion, transfer, selection for training, terms and conditions of employment, pay and other forms of compensation. Through its programs and policies, Carrier provides an atmosphere of cooperation for all employees.

The senior executive in each location is held accountable for implementing and promoting the policy within that location. Under executive direction, the human resources manager of the facility is responsible for establishing and carrying out procedures and practices implementing the policy. Each human resources manager is further charged with responsibility to conduct reviews of the facility's practices and, when required, exercise authority in the resolution of any problems that may arise. The result of internal audit and monitoring procedures is reported semi-annually to the chief executive officer to assure compliance with each facility's Equal Employment Opportunity Policy and Affirmative Action Program objectives.

Carrier supports community-base, nationally recognized organizations that promote women, minorities, veterans and the disabled. Through effective management, the company will afford all individuals an equal opportunity to contribute their best to the success of our company. All employees are encouraged to take advantage of Carrier's equal employment policies and programs, as well as the diversity efforts created to remove obstacles that could become impediments to advancement.

As a government contractor, Carrier Corporation is subject to Section 503 of the Vocational Rehabilitation Act of 1973 and Section 402 of the Vietnam Era Veterans Readjustment Assistance Act of 1974, as amended, which require affirmative action be taken to employ and advance in employment qualified disabled individuals, disabled veterans and veterans of the Vietnam Era. Disabled individuals, Vietnam-era veterans, and disabled veterans may identify themselves to their human resources representatives for consideration under the company's affirmative action program. Self-identification is voluntary, will be kept confidential, and will be used only in accordance with applicable regulations.

If you have any questions regarding this policy, please contact your HR representative.

Geraud Darnis
President
January 2007